

Roll No. ^{CA} 60 12071

57523

**BBA 3rd Sem. (N. S.) 2014-17
Examination – November , 2018**

CAPITAL MARKETS

Paper : BBAN-303

Time : Three Hours]

[Maximum Marks : 80

Before answering the questions, candidates should ensure that they have been supplied the correct and complete question paper. No complaint in this regard, will be entertained after examination.

Note : Attempt *compulsory* Question No. 1 from Section-A and *four* questions from Section-B (*one* question from each unit). All questions carry equal marks.

SECTION – A

1. Briefly explain the following :

- (a) Meaning of capital market.
- (b) Features of debentures.
- (c) Right issues.
- (d) Functions of a stock exchange.

- (e) Depository system.
- (f) Major players in the debt market.
- (g) Characteristics of mutual funds.
- (h) Objectives of ICICI bank.

SECTION – B

UNIT – I

- 2. What is the need for a capital market ? Discuss the major reforms introduced in Indian capital market.
- 3. Elaborate the major innovations in financial instruments. How have the innovations helped the small investors ?

UNIT – II

- 4. What are the advantages and disadvantages of raising funds through an IPO ? Which documents are required for it ?
- 5. How is a stock market index constructed ? Describe various indices used in Indian stock market.

UNIT – III

- 6. Highlight the need for a depository system in India. Describe the stages in a depository process. Differentiate between a physical share and a demat share.
- 7. Discuss various types of instruments traded in Indian debt market. How can the corporate debt market be revived ?

UNIT – IV

- 8. What are the objectives of EXIM bank ? Which products and services are offered by it ?
- 9. Write notes on :
 - (a) Functions of NABARD
 - (b) Type of mutual funds